

Substitute for form 1449/PT
(Revised 07/2005)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number 09/939,782
Filing Date 08/27/2001
First Named Inventor Alie
Group Art Unit 3625
Examiner Name Robert M. Pond
Attorney Docket Number 018360/218130

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. | Document Number Number - Kind Code (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear |
|--------------------|----------|--|--------------------------------|--|---|
| <i>[Signature]</i> | 1 | US-2002/0152174 | 10/17/2002 | Woods et al. | |
| | 2 | US-2003/0101069 | 05/29/2003 | Sando | |
| | 3 | US-5,440,634 | 08/08/1995 | Jones et al. | |
| | 4 | US-5,666,493 | 09/09/1997 | Wojcik et al. | |
| | 5 | US-5,878,139 | 03/02/1999 | Rosen | |
| | 6 | US-6,076,078 | 06/13/2000 | Camp et al. | |
| | 7 | US-6,088,797 | 07/11/2000 | Rosen | |
| | 8 | US-6,161,121 | 12/12/2000 | Hardy et al. | |
| | 9 | US-6,185,683 | 02/06/2001 | Ginter et al. | |
| <i>[Signature]</i> | 10 | US-6,205,433 | 03/20/2001 | Boesch et al. | |
| | 11 | US-6,748,366 | 06/08/2004 | Hurwitz et al. | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. | Foreign Patent Document Country Code - Number Kind Code (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | English Language Translation Attached |
|-------------------|----------|--|--------------------------------|---|--|--|
| | | | | | | |

OTHER DOCUMENTS

| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published. | English Language Translation Attached |
|--------------------|----------|---|--|
| <i>[Signature]</i> | 12 | UPS - Nescrow Materials Submitted in Response to Request for Information. | |
| | 13 | Morris Aligns with New E-Commerce Transaction Process; Morris Communications Corporation; 2 pages; available at http://www.morriscomm.com/press/sept18ntp.html , downloaded 09/19/2000. | |
| <i>[Signature]</i> | 14 | JAMIE BECKETT; Personal Technology, Rise of the Online Middlemen, Escrow Services in Demand as Net Auction Sites Proliferate; San Francisco Chronicle, 1999; pgs. 1-4. | |

Examiner
Signature

Date
Considered

1/6/07

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449/PTO
(Revised 07/2005)




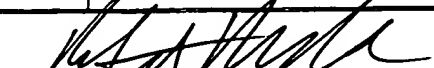
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|------------------------|----------------|
| Application Number | 09/939,782 |
| Filing Date | 08/27/2001 |
| First Named Inventor | Alie |
| Group Art Unit | 3625 |
| Examiner Name | Robert M. Pond |
| Attorney Docket Number | 018360/218130 |

Sheet 2 of 3

| | | | |
|--|---|---|---|
|  | 15 | CHERYL ROSEN; <i>Payment for Perishables: B-To-B System Guarantees It</i> ; Informationweek.com, March 5, 2001; pg. 61. | |
|  | 16 | ARNAT LEEMAKDEJ; <i>Online Payment Options, Escrow system may offer best safeguards for international traders</i> ; Bangkok Post, February 2, 2001; pgs. 1-3. | |
| | 17 | <i>i-Escrow.com Adopts New Name Tradenable, Plans Rollout of Transaction Settlement Services for Businesses</i> ; Dow Jones Interactive, PR Newswire, 01/17/2001, pgs. 1-2. | |
| | 18 | <i>FrugalEscrow PLC enters The US Escrow Market Ahead Of Schedule</i> ; Dow Jones Interactive, PR Newswire, 01/03/2001, pgs. 1-2. | |
| | 19 | <i>Pitney Bowes Provides Online Escrow Services for Capital Stream</i> ; Dow Jones Interactive, PR Newswire, 01/16/2001, pgs. 1-2. | |
| | 20 | <i>Pitney Bowes To Offer Escrow Svcs For CapitalStream Users</i> ; Dow Jones Interactive, PR Newswire, 01/16/2001, pgs. 1-2. | |
| | 21 | <i>Escrow Guardian – The safest and most inexpensive way to trade through online auctions</i> ; www.escrowguardian.com ; downloaded 02/28/2001; pgs. 1-2. | |
| | 22 | <i>Escrow Guardian – The safest and most inexpensive way to trade through online auctions</i> ; Frequently Asked Questions; www.escrowguardian.com ; downloaded 02/28/2001; pgs. 1-4. | |
| | 23 | <i>Escrow Guardian – The safest and most inexpensive way to trade through online auctions</i> ; Terms of Service and Credit Card User Agreement; www.escrowguardian.com ; downloaded 02/28/2001; pgs. 1-3. | |
| | 24 | <i>Show me the money, How PayPal works</i> ; ZDNet: Business & Tech E-Commerce: How PayPal works; 3 pages, available at http://www.zdnet.com/e-commerce/stories/main/0,10475,2687755-5,00/html , downloaded on 03/19/01. | |
| |  | 25 | <i>Safe2Trade.com, How it Works</i> ; 2 pages, available at http://www.safe2trade.com/steps.htm ; downloaded on 02/27/01. |
| Examiner Signature |  | | Date Considered 1/6/07 |

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449/PTO
(Revised 07/2005)



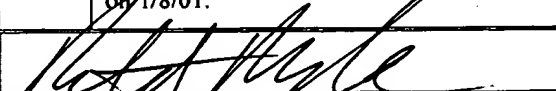
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|------------------------|----------------|
| Application Number | 09/939,782 |
| Filing Date | 08/27/2001 |
| First Named Inventor | Alie |
| Group Art Unit | 3625 |
| Examiner Name | Robert M. Pond |
| Attorney Docket Number | 018360/218130 |

Sheet 3 of 3

| | | | |
|---|---|---|------------------------|
|  | 26 | <i>i-Escrow.com adopts new name Tradenable, plans rollout of transaction settlement services for businesses; Tradenable Fearless Commerce; 2 pages, available at http://www.tradenable.com/prerelease/011701.html, downloaded on 2/5/01.</i> | |
| | 27 | <i>Personal Webpages; Tradenable Fearless Commerce; 2 pages, available at http://www.tradenable.com/webpages.html, downloaded on 2/5/01.</i> | |
| | 28 | <i>Classified Ads Sites (non-automotive); Tradenable Fearless Commerce; 1 page, available at http://www.tradenable.com/classified.html, downloaded on 2/5/01.</i> | |
| | 29 | <i>Retail Sites; Tradenable Fearless Commerce; 1 page, available at http://www.tradenable.com/retail.html, downloaded on 2/5/01.</i> | |
| | 30 | <i>Services; Tradenable Fearless Commerce; 2 pages, available at http://www.tradenable.com/services_index.html, downloaded on 2/5/01.</i> | |
| | 31 | <i>Partnerships; Tradenable Fearless Commerce; 2 pages, available at http://www.tradenable.com/current.html, downloaded on 2/5/01.</i> | |
| | 32 | <i>About Us; Tradenable Fearless Commerce; 2 pages, available at http://www.tradenable.com/aboutus_index.html, downloaded on 2/5/01.</i> | |
| | 33 | <i>About Us, What's New, We've Changed Our Name; Tradenable Fearless Commerce; 2 pages, available at http://www.tradenable.com/whats.html, downloaded on 2/5/01.</i> | |
|  | 34 | <i>E-Commerce Success Story: iEscrow.com; E-Commerce Times: Success Stores; 9 pages, available at http://www.ecommercetimes.com/success_stories/success_iescrow.shtml, downloaded on 1/8/01.</i> | |
| Examiner Signature |  | | Date Considered 1/6/07 |

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.